Revised PTO/SB/3

REQUEST for UED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provided for continued examination of a utility or plant application filed on or after June 8, 1995.

See the American Inventors Protection Act of 1999 (AIPA).

Application Number	09/831,505
Filing Date	August 1, 2001
First Named Inventor	Toru AOKI
Group Art Unit	2133
Examiner Name	Cynthia H. Britt
Attorney Docket Number	2001_0565A
Confirmation No.	5693

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

- Submission required under 37 C.F.R. § 1.114
 - a. [] Previously submitted:
 - [] Please enter and consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on
 - Please consider the arguments in the Appeal Brief or Reply Brief previously filed on ii
 - [] Other
 - b. [X] Enclosed:
 - [X] Preliminary Amendment/Reply
 - [] Affidavit(s)/Declaration(s)
 - [] Information Disclosure Statement (IDS)
 - [] Other

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

2. Miscellaneous

- a. [] Suspension of action on the above-identified application is required under 37 C.F.R. § 1.103(c) for a period ofmonths. (period of suspension still not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required).
- b. [] Other_
- Fees (The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.) 3.
 - a. [] The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No.
 - [X] RCE fee required under 37 C.F.R. § 1.17(e)
 - ii. [X] Extension of time fee (37 C.F.R. § 1.136 and § 1.17)
 - iii. [] Other
 - b. [X] Check in the amount of \$880.00 enclosed

4. CORRESPONDENCE ADDRESS

CUSTOMER NO.

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August 6, 2004